

RECAP 2002/2003: OECA Performance Measures: Table of Contents

Organization of RECAP 2000/2001	Area	
Section I	MOA Priority Measures	Our ability to track activities in MOA priority areas is greatly facilitated by fields in ICIS. ICIS requires that all enforcement activities be classified as either MOA priority, regional priority or core.
Section II	Compliance Assistance Measures (Outcomes and Outputs)	These measures include the traditional activity counts for compliance assistance activities such as workshops, outreach materials, trainings, and on-site visits as well as outcome measures. In FY 2003, the regions will be asked to conduct outcome measurement projects and to report the data in RCATs.
Section III	Compliance Incentives (Outcomes and Outputs)	The measures in this section track the outcomes of our audit policy and small business policy.

Section IV	Clean Air Act Measures: HPV measures, compliance monitoring, and enforcement outputs and outcomes.	This section captures our traditional media-specific HPV measures such as the universe of significant violators; addressing of HPVs; T &A as well as measures for duration and recidivism. New measures include those to reflect the new Compliance Monitoring Strategy and the Title V Certification Program.
Section V	Clean Water Act Measures (NPDES and Pretreatment); SNC measures; compliance monitoring; and enforcement outputs and outcomes.	This section captures our traditional media-specific SNC measures such as the universe of significant violators; addressing of SNCs; T &A as well as the measures for duration and recidivism.
Section VI	Safe Drinking Water Measures; SNC, compliance monitoring; enforcement outputs and outcomes..	This section captures our traditional media-specific SNC measures such as the universe of significant violators; addressing of SNCs; and T &A. The compliance monitoring section includes the measures for investigations and citizen complaints as well as federal and state inspection action and coverage counts. The enforcement output measures include measures for # of initiated and concluded federal and state actions. Enforcement Outcomes refer to the number of people served by the drinking water system that will be receiving cleaner drinking water as a result of our enforcement actions.

Section VII	Resource Conservation and Recovery Act Measures: SNC, compliance monitoring; enforcement outputs and outcomes	This section captures our traditional media-specific SNC measures such as the universe of significant violators; addressing of SNCs; T &A as well as measures for duration and recidivism. The compliance monitoring section includes the measures for investigations and citizen complaints as well as federal and state inspection action and coverage counts. The enforcement output measures include measures for # of initiated and concluded federal and state actions.
Section VIII	TSCA Measures; compliance monitoring; enforcement outputs.	
Section IX	FIFRA	
Section X	EPCRA	
Section XI	Multi-Media Measures: compliance monitoring	This section contains compliance monitoring measures.
Section XI	Criminal Measures: enforcement outputs	This section contains criminal enforcement output measures.

Section XII	Federal Facilities Measures: compliance monitoring and enforcement outputs	This section highlights measures for specific compliance assurance activities at federal facilities.
APPENDIX	NPMS Performance Profile FY2002 Annual Performance Goals and Measures Case Conclusion Data Sheet Definitions and Case Conclusion Data Sheet Reporting Form	

Reporting Frequencies:

The approximate dates for mid-year and end-of-year reporting are April 15th and October 15th; however exact dates vary by program system and are included in the mid-year and end-of-year call memo distributed by the Enforcement, Planning and Targeting Division. However, the frequency of reporting is as follows:

Type of Measure	
Enforcement Outcomes (Section IV)	Annual (EOY)
SNC/HPV Measures (Sections V - VIII)	Semi- Annual

Type of Measure	Reporting Frequency
Compliance Monitoring Measures (Sections V - VIII) a. investigations b. citizen compliants c. inspections	Semi-Annual
Enforcement Output Measures (Sections V - VIII)	Semi-Annual
Compliance Assistance Measures (Section II)	Semi-Annual
Compliance Incentives Measures (Section III)	Semi-Annual
MOA Manual Measures	Varies by measure see Section One



Section X: FY2002/2003 RECAP OECA Performance Measures: EPCRA

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EPCRA Compliance Monitoring Output Measures:

EPCRA Citizen Complaints: EPCRACC

Federal Section 313 Non-Reporter Inspections: EPCRAINS-1

Federal Section 313 Data Quality Inspections: EPCRAINS-2

Federal Section 311/312 Inspections: EPCRAINS-3

Federal EPCRA Section 304 and CERCLA Section 103 Inspections: EPCRAINS-4

EPCRA Enforcement Outcome Measures:

EPCRA Enforcement Output Measures:

Federal Section 313 NOVs: EONOVE

Federal Consent Decree Tracking: EPCRACDE1- EPCRACDE5

Federal Civil Case Initiations: EOCIEPC0 - 2

Federal Civil Case Conclusions: EOCCEPCI - EOCCEPC4

FY2002/2003 EPCRA RECAP Measures					
Measure Code	Measure	New Reporting	Data Source	Key Data Fields	Reason for Measure
EPCRA Compliance Monitoring					
EPCRACC 1	<p>Total Number of 313 Citizen Complaints and Total Number Responded to.</p> <p><i>Definition: any phone call or correspondence (letter or email) from individuals regarding violations of environmental laws and regulations which are received by or referred to the enforcement and compliance assurance program.</i></p>	None	Manual		OECA Management
EPCRACC 2	<p>Total Number of Non-313 Citizen Complaints and Total Number Responded to.</p> <p><i>Definition: any phone call or correspondence (letter or email) from individuals regarding violations of environmental laws and regulations which are received by or referred to the enforcement and compliance assurance program.</i></p>	None	Manual		OECA Management

FY2002/2003 EPCRA RECAP Measures					
Measure Code	Measure	New Reporting	Data Source	Key Data Fields	Reason for Measure
EPCRA Compliance Monitoring					
EPCRAIN S-1	# of EPA EPCRA 313 Non-Reporter Inspections (both on-site and desk audit)	None	NCDB ¹	Investigation Type: EEA EPCRA, Enforcement, SEE Conducted EEF EPCRA, Enforcement, Federal Conducted	GPRA
EPCRAIN S-2	# of EPA EPCRA 313 Data Quality Inspections	None	NCDB	Investigation Type: EDA EPCRA, Data Quality, SEE Conducted EDF EPCRA, Data Quality, Federal Conducted	GPRA
EPCRAIN S-3	# of EPA EPCRA 311/312 Inspections	None	NECTR/C ERCLIS	Break out Federal Facility and Non-Federal Facility.	GPRA

¹NCDB captures information from the regional FTTS databases.

FY2002/2003 EPCRA RECAP Measures					
Measure Code	Measure	New Reporting	Data Source	Key Data Fields	Reason for Measure
EPCRAIN S-4	# of EPA EPCRA 304 and CERCLA 103 Inspections	None	NECTR /CERCLIS	Break out Federal Facility and Non-Federal Facility.	GPRA
EPCRA Enforcement Outcomes					
CCDSEPC RA1	# of Nonphysical Complying Action Types for EPCRA cases (most EPCRA violations are reporting violations) Note: because EPCRA is a reporting statute you are not likely to have physical actions (e.g. use reduction, emissions change) that result from EPCRA cases. Therefore, you are not likely to report a pollutant reduction. If one should occur, please report.	None	ICIS	Activity Add Enforcement Action Conclusion: Injunctive Relief/Compliance Activities Screen.	GPRA
CCDSEPC RA2	\$ Value of Injunctive Relief for Physical and Nonphysical Actions	None	ICIS	Activity Add Enforcement Action Conclusion: Injunctive Relief/Compliance Activities Screen.	GPRA
CCDSEPC RA4	Category of SEP	None	ICIS	Activity Add Enforcement Action Conclusion: SEP Screen.	OECA Management

FY2002/2003 EPCRA RECAP Measures					
Measure Code	Measure	New Reporting	Data Source	Key Data Fields	Reason for Measure
CCDSEPC RA5	SEP pollutant(s) and/or chemical(s)and/or waste streams and amount of reductions/eliminations/ treatment (e.g., emissions/ discharges), and quantify by pollutant, amount, unit of measure, and media.	None	ICIS	Activity Add Enforcement Action Conclusion: SEP Screen Use OECA Methodology for calculating reductions. See help menu of ICIS and/or CCDS Training Booklet .	OECA Management
CCDSEPC RA6	\$ Value of SEP	None	ICIS	Activity Add Enforcement Action Conclusion: SEP Screen.	OECA Management
CCDSEPC RA7	Penalty Information: a. dollar amount of final assessed penalty. b. if shared, Federal share. c. if shared, State or local share. d. for multi-media actions, Federal amounts by statute.	None	ICIS	Activity Add Enforcement Action Conclusion: Penalty/Cost Recovery Screen.	OECA Management
EPCRA Enforcement Outputs: Notices of Violation					

FY2002/2003 EPCRA RECAP Measures					
Measure Code	Measure	New Reporting	Data Source	Key Data Fields	Reason for Measure
EONOVE	# of Federal NONs in the EPCRA 313 Programs	None	FTTS through NCDB	NON: (Notice of Noncompliance) FNN: (Federal Notice of Noncompliance)	OECA Management
EPCRA Enforcement Outputs: Consent Decree Tracking					
EPCRACD E1	# of Active Consent Decrees (by media)	None	ICIS	Activity Add Enforcement Action Conclusion: Final Order Tracking Screen.	OECA Management
EPCRACD E2	# of Active Consent Decrees in Compliance	None	ICIS	Activity Add Enforcement Action Conclusion: Final Order Tracking Screen.	OECA Management
EPCRACD E3	# of Active Consent Decrees in Violation where formal enforcement action has commenced	None	ICIS	Activity Add Enforcement Action Conclusion: Final Order Tracking Screen.	OECA Management
EPCRACD E4	# of Active Consent Decrees in violation with formal action	None	ICIS	Activity Add Enforcement Action Conclusion: Final Order Tracking Screen.	OECA Management
EPCRACD E5	# of Active Consent Decrees where status is Unknown or Not Reported	None	ICIS	Activity Add Enforcement Action Conclusion: Final Order Tracking Screen.	OECA Management
EPCRA Federal Enforcement Outputs: Civil Case Initiations					

FY2002/2003 EPCRA RECAP Measures					
Measure Code	Measure	New Reporting	Data Source	Key Data Fields	Reason for Measure
EOCIEPC RA1	# of Civil Judicial Referrals	None	ICIS	Activity Add Enforcement Action Screen.	GPRA
EOCIEPC RA2	# of Administrative Penalty Order Complaints	None	ICIS	Activity Add Enforcement Action Screen.	GPRA
EPCRA Enforcement Outputs: Civil Case Conclusions					
EOCCEPC RA1	# of Civil Judicial Cases Concluded	None	ICIS	Activity Add Enforcement Action Conclusion Screen.	GPRA
EOCCEPC RA2	# of Compliance Orders Issued	None	ICIS	Activity Add Enforcement Action Conclusion Screen.	GPRA
EOCCEPC RA3	# of Final Administrative Penalty Orders Issued	None	ICIS	Activity Add Enforcement Action Conclusion Screen.	GPRA
EOCCEPC RA4	# of Final Federal Facility Compliance Agreements Issued	None	ICIS	Activity Add Enforcement Action Conclusion Screen.	GPRA